

HORIZON 2020 - REQUIREMENTS FOR OPEN ACCESS TO PUBLICATIONS

Premise

After an experimentation conducted in FP7, the European Commission introduced open access (OA) as a method of disseminating publications resulting from funded research projects in the Horizon2020 funding program.

Therefore, all projects that provide a plan for the dissemination of research results through publication in peer-reviewed journals are required to make these products freely accessible according to the principles of open access.

The European Commission has also made a strong call to the beneficiaries of the projects to publish open access also the other forms of scientific production deriving from the funded researches, or the monographs, the contributions in volume, the conference proceedings and the gray literature, with the exclusion of projects for economic exploitation and marketing (patents, etc.).

ATTENTION: failure to fulfill any obligation indicated in the Grant Agreement, including therefore the open access dissemination of the research results, may have consequences on the economic plan of the project, such as the reduction of financing.

1) How to file the publications in the ARCA repository

The ARCA University Catalog has recently been made compliant with the guidelines of **OpenAIRE**[1], the platform funded by the European Commission for the collection, census and dissemination of research results.

In this way, the publications saved in ARCA and for which the author has indicated in the form, according to the methods set out below, the derivation from the Horizon2020 financing program, will also be transmitted to the European portal, as foreseen by the dissemination constraints.

In the ARCA Catalog, two new fields have been integrated, necessary for the harvesting and exposure in the OpenAIRE portal:

- a) **"Financing program"**: this field presents a drop-down menu that allows the choice of the financing program from which derives the publication (At the moment Horizon 2020 and VII Framework Program);
- b) **"Project identifier"**: provides for the inclusion of the project Grant Agreement number.

¹ Since 2009 the European Commission has financed the implementation of OpenAIRE, a research support infrastructure aimed at aggregating academic and scientific results, including data and literature deposited in the institutional or thematic archives as required in the Grant Agreement section. 29, but also information on projects, people, institutions, etc., kept in information systems for research, the so-called Cris-Current Research Information System <https://www.openaire.eu/>

Invia: Descrivi questo prodotto

Tipologia corrente: 2.1 Articolo su rivista

Si prega di completare le informazioni sul prodotto. I campi segnalati con * sono obbligatori.

Se questo è previsto (p.e. progetti europei con pubblicazione in [OpenAIRE \(Open Access Infrastructure for Research Europe\)](#), selezionare dalla tendina il programma di finanziamento.

Programma di finanziamento: (SELEZIONA...)

Se questo è previsto (p.e. progetti europei con pubblicazione in [OpenAIRE \(Open Access Infrastructure for Research Europe\)](#), inserire l'ID del progetto di finanziamento.

Identificativo progetto:

The publications resulting from research funded by the Horizon2020 program must be deposited in the ARCA institutional archive, taking care to fill in the two new fields dedicated to European funding programs: the complete information sheets will be transmitted periodically and automatically to the European OpenAIRE portal.

N.B: The fields "Funding program" and "Project identifier" are necessary to identify the article as resulting from a European project. Their absence prevents the exposure of products in OpenAIRE and the fulfillment of the obligation of dissemination provided by the General Model Grant Agreement of Horizon2020

2) Full-Text: what to deposit

- In the case of **open access journals**, the full-text of the publication in PDF format, in the version published by the publisher must be filed in the ARCA Catalog;
- in the case of **non-open access journals**, full-text in the version allowed by the publisher for the deposit with open access can be filed instead (usually the post-print or final version of the author, without editorial layout, with the possible embargo period required).

To verify the version allowed for open access archives we suggest:

- searching the database [SHERPA RoMEO](#);
- consulting the UniVE self-archiving [Policies for publishing contracts](#), an updated outline drawn up by the Digital Library based on the subscriptions to electronic resources.

Regardless of the registered version (post-print or published version), the General Model Grant Agreement of Horizon2020 also provides that, except for exceptions, this includes:

- a) the European Union logo;
- b) the text "This project has received funding from the [" European Union's Horizon 2020 research and innovation program "] under grant agreement No [Number]".

Please note that the filing of the full-text in ARCA must also be carried out in the case of publication in open access journals; it is not sufficient to list the publications on the project web page.



3) When to deposit and embargo periods

The publication must be filed in the ARCA Catalog as soon as possible, so as to guarantee the widest circulation, and in any case respecting the following constraints:

- a) in the case of open access magazine, the PDF must be filed no later than the publication date of the magazine;
- b) in the case of closed access publications, the available version will be the one accepted for publication (post-print) which must be freely accessible in the ARCA catalog after a maximum 6-month embargo period for scientific, medical and technical disciplines and 12 months for the disciplines related to the human and social sciences. The embargo period must be set with the appropriate function available in the attachment upload form of the ARCA Catalog.

4) Copyright restrictions

It is important to inform the publisher before signing the contract that the article is the result of a research funded by Horizon 2020 funds.

If the contract with the publisher prevents in any case (even if with embargo) the dissemination in open access of the publication (and the article is to be absolutely published in that specific journal) the European Commission invites the author to keep a copy of the correspondence with the publisher and send it to the Project Officer (project referent).

For more information on copyright issues and publisher policies and any issues that may arise with publishers for compliance with open access requirements in Horizon2020, see the pages on the OpenAIRE site dedicated to this topic:

<https://old.openaire.eu/copyright-issues>

5) Normative references

- European Commission: [H2020 Multi-beneficiary General Model Grant Agreement](#);
- European Commission: [Guidelines on Open Access to Scientific Publications and Research Data in Horizon 2020](#);
- European IPR HelpDesk: [Open Access to scientific publications and research data in Horizon 2020: Frequently Asked Questions \(FAQs\)](#)
- OpenAIRE: [Open Access in Horizon 2020](#)

Contacts:

- Open Access support, advice on editorial policies: bda@unive.it
- Procedure for inserting publications: ricerca.nazionale@unive.it